

17119 U.S.PTO  
121103

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. 021308-000510US
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor ZDEBLICK, MARK
		Title METHOD AND SYSTEM FOR MONITORING AND TREATING HEMODYNAMIC PARAMETERS
		Express Mail Label No. EV 346923716 US

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073490

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning design patent application contents.</small>		<b>ADDRESS TO</b>		
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <span style="border: 1px solid black; padding: 2px;">[Total Pages 34]</span> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) <span style="border: 1px solid black; padding: 2px;">[Total Sheets 9]</span></p> <p>5. Oath or Declaration <span style="border: 1px solid black; padding: 2px;">[Total Pages 1]</span> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper number of pages</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p> <p><b>ACCOMPANYING APPLICATIONS PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input type="checkbox"/> Other: _____</p>		
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) <span style="float: right;">of prior application No: _____</span></p> <p>Prior application information: Examiner _____ <span style="float: right;">Art Unit: _____</span></p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>				
<b>19. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number		20350		
		<b>OR</b> <input type="checkbox"/> Correspondence address below		
Name				
Address				
City		State		
Country		Telephone		
Name (Print/Type)	Scott M. Smith, M.D.		Registration No. (Attorney/Agent)	48,268
Signature			Date	12/11/03

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 2064

<i>C mplete if Known</i>	
Application Number	UNASSIGNED
Filing Date	HEREWITH (12/11/03)
First Named Inventor	ZDEBLICK, MARK
Examiner Name	UNASSIGNED
Art Unit	UNASSIGNED
Attorney Docket No.	021308-000510US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number **20-1430**

Deposit Account Name **Townsend and Townsend and Crew LLP**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	<b>385</b>
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) **(\$385)**

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Fee from below	Fee Paid
Total Claims	157	-20** = <b>137</b> <input type="checkbox"/> \$9 =	<b>\$1,233</b>
Independent Claims	10	-3** = <b>7</b> <input type="checkbox"/> \$43 =	<b>\$301</b>
Multiple Dependent1		<input type="checkbox"/> X =	<b>\$145</b>

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$1679)**

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee (\$)
				Fee Code (\$)	Fee (\$)
1051	130	Surcharge - late filing fee or oath		2051	65
1052	50	Surcharge - late provisional filing fee or cover sheet.		2052	25
1053	130	Non-English specification		1053	130
1812	2,520	For filing a request for reexamination		1812	2,520
1804	920*	Requesting publication of SIR prior to Examiner action		1804	920*
1805	1,840*	Requesting publication of SIR after Examiner action		1805	1,840*
1251	110	Extension for reply within first month		2251	55
1252	420	Extension for reply within second month		2252	210
1253	950	Extension for reply within third month		2253	475
1254	1,480	Extension for reply within fourth month		2254	740
1255	2,010	Extension for reply within fifth month		2255	1,005
1401	330	Notice of Appeal		2401	165
1402	330	Filing a brief in support of an appeal		2402	165
1403	290	Request for oral hearing		2403	145
1451	1,510	Petition to institute a public use proceeding		1451	1,510
1452	110	Petition to revive – unavoidable		2452	55
1453	1,330	Petition to revive – unintentional		2453	665
1501	1,330	Utility issue fee (or reissue)		2501	665
1502	480	Design issue fee		2502	240
1503	640	Plant issue fee		2503	320
1460	130	Petitions to the Commissioner		1460	130
1807	50	Petitions related to provisional applications		1807	50
1806	180	Submission of Information Disclosure Stmt		1806	180
8021	40	Recording each patent assignment per property (times number of properties)		8021	40
1809	770	Filing a submission after final rejection (37 CFR § 1.129(a))		2809	385
1810	770	For each additional invention to be examined (37 CFR § 1.129(b))		2810	385
1801	770	Request for Continued Examination (RCE)		2801	385
1802	900	Request for expedited examination of a design application		1802	900
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) <b>(\$)</b>

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Scott M. Smith, M.D.	Registration No. (Attorney/Agent)	48,268	Telephone	650-326-2400
Signature				Date	12/11/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.